

Adani Ports and Special Economic Zone Limited

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Adani Ports and Special Economic Zone Limited
2	Scrip Code/Name of Scrip/Class of Security: 532921 / ADANI PORTS / Equity
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending : December 31, 2019
b.	If under 31(1)(c) then indicate date of allotment/extinguishment : NA
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities ?	-	No
3	Whether the Listed Entity has issued any Warrants ?	-	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
5	Whether the Listed Entity has any shares in locked-in?	-	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-
7	Whether company has equity shares with differential voting rights?	-	No
8	Whether the listed entity has any significant beneficial owner?	Yes	-

*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Adani Ports and Special Economic Zone Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	9	1268999322	-	-	1268999322	62.46	1268999322	-	1268999322	62.46	-	-	462003010	36.41	1268999322		
(B)	Public	257109	762752439	-	-	762752439	37.54	762752439	-	762752439	37.54	-	-	N.A.	N.A.	762366904		
(C)	Non Promoter - Non Public	-	-	-	-	-	0.00	-	-	-	0.00	-	-	-	0.00	-		
(C1)	Shares underlying DRs	-	-	-	-	-	0.00	-	-	-	0.00	-	-	-	0.00	-		
(C2)	Shares held by Employee Trust	-	-	-	-	-	0.00	-	-	-	0.00	-	-	-	0.00	-		
	Total	257118	2031751761	-	-	2031751761	100.00	2031751761	-	2031751761	100.00	-	-	462003010	22.74	2031366226		

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Table II (I)(a)- Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of shareholders	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares helds	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1	Indian																	
(a)	Individuals / Hindu Undivided Family	2	2	-	-	2	0.00	2	-	2	0.00	-	0.00	-	0.00	-	0.00	2
	Gautambhai Shantilal Adani	1	1	-	-	1	0.00	1	-	1	0.00	-	0.00	-	0.00	-	0.00	1
	Rajeshbhai Shantilal Adani	1	1	-	-	1	0.00	1	-	1	0.00	-	0.00	-	0.00	-	0.00	1
(b)	Central Government / State Government(s)	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(c)	Financial Institutions/Banks	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(d)	Any Other (Specify)	3	937577484	-	-	937577484	46.15	937577484	-	937577484	46.15	-	46.15	-	0.00	462003010	49.28	937577484
	Held by respective trustees (beneficial holders family trusts)																	
	Gautambhai Shantilal Adani & Rajeshbhai Shantilal Adani (on behalf of S. B. Adani Family Trust)	1	799353935	-	-	799353935	39.34	799353935	-	799353935	39.34	-	39.34	-	0.00	436697691	54.63	799353935
	Gautambhai Shantilal Adani & Pritiben Gautambhai Adani (on behalf of Gautam S. Adani Family Trust)	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
	Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	1	30000	-	-	30000	0.00	30000	-	30000	0.00	-	0.00	-	0.00	-	0.00	30000
	Bodies Corporate																	
	Adani Properties Private Limited	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
	Adani Tradeline LLP	1	138193549	-	-	138193549	6.80	138193549	-	138193549	6.80	-	6.80	-	0.00	25305319	18.31	138193549
	Sub Total (A)(1)	5	937577486	-	-	937577486	46.15	937577486	-	937577486	46.15	-	46.15	-	0.00	462003010	49.28	937577486
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(b)	Government	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(c)	Institutions	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	0.00	-
(e)	Any Other (Specify)																	
	Bodies Corporate	4	331421836	-	-	331421836	16.31	331421836	-	331421836	16.31	-	16.31	-	0.00	-	0.00	331421836
	Worldwide Emerging Market Holding Limited	1	77756181	-	-	77756181	3.83	77756181	-	77756181	3.83	-	3.83	-	0.00	-	0.00	77756181
	Universal Trade And Investments Limited	1	79541248	-	-	79541248	3.91	79541248	-	79541248	3.91	-	3.91	-	0.00	-	0.00	79541248
	Afro Asia Trade and Investments Limited	1	89945212	-	-	89945212	4.43	89945212	-	89945212	4.43	-	4.43	-	0.00	-	0.00	89945212
	Emerging Market Investment DMCC	1	84179195	-	-	84179195	4.14	84179195	-	84179195	4.14	-	4.14	-	0.00	-	0.00	84179195
	Sub Total (A)(2)	4	331421836	-	-	331421836	16.31	331421836	-	331421836	16.31	-	16.31	-	0.00	-	0.00	331421836
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	9	1268999322	-	-	1268999322	62.46	1268999322	-	1268999322	62.46	-	62.46	-	0.00	462003010	36.41	1268999322

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.:

Nil

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of shareholders	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held ^S	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1	Institutions																N.A.	
(a)	Mutual Fund	31	68353410	-	-	68353410	3.36	68353410	-	68353410	3.36	-	3.36	-	0.00	-	-	68353410
	Sbi Equity Hybrid Fund	1	24612159	-	-	24612159	1.21	24612159	-	24612159	1.21	-	1.21	-	0.00	-	-	24612159
(b)	Venture Capital Funds	-	-	-	-	-	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	-
(c)	Alternate Investment Funds	7	38349	-	-	38349	0.00	38349	-	38349	0.00	-	0.00	-	0.00	-	-	38349
(d)	Foreign Venture Capital Investors	-	-	-	-	-	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	-
(e)	Foreign Portfolio Investor	558	380304381	-	-	380304381	18.72	380304381	-	380304381	18.72	-	18.72	-	0.00	-	-	380304381
	Europacific Growth Fund	-	56338768	-	-	56338768	2.77	56338768	-	56338768	2.77	-	2.77	-	0.00	-	-	56338768
	New World Fund INC	-	0	-	-	0	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	0
	Camas Investments Pte Ltd	-	26343229	-	-	26343229	1.30	26343229	-	26343229	1.30	-	1.30	-	0.00	-	-	26343229
	Baytree Investments (Mauritius) Pte Ltd	-	0	-	-	0	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	0
(f)	Financial Institutions/Banks	7	5048212	-	-	5048212	0.25	5048212	-	5048212	0.25	-	0.25	-	0.00	-	-	5048212
(g)	Insurance Companies	18	246831540	-	-	246831540	12.15	246831540	-	246831540	12.15	-	12.15	-	0.00	-	-	246831540
	Life Insurance Corporation of India	1	236904353	-	-	236904353	11.66	236904353	-	236904353	11.66	-	11.66	-	0.00	-	-	236904353
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	-	-
(i)	Any Other (Specify)	-	-	-	-	-	0.00	-	-	-	0.00	-	0.00	-	0.00	-	-	-
	Foreign Institutional Investors	0	0	-	-	0	0.00	0	-	0	0.00	-	0.00	-	0.00	-	-	0
	Sub Total (B)(1)	621	700575892	-	-	700575892	34.48	700575892	-	700575892	34.48	-	34.48	-	0.00	-	-	700575892
2	Central Government/ State Government(s)/ President of India	3	1674160	-	-	1674160	0.08	1674160	-	1674160	0.08	-	0.08	-	0.00	-	-	1674160
	Sub Total (B)(2)	3	1674160	-	-	1674160	0.08	1674160	-	1674160	0.08	-	0.08	-	0.00	-	-	1674160
3	Non-Institutions																N.A.	
(a)	Individuals																	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	243852	35951342	-	-	35951342	1.77	35951342	-	35951342	1.77	-	1.77	-	0.00	-	-	35571455
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	25	7972412	-	-	7972412	0.39	7972412	-	7972412	0.39	-	0.39	-	0.00	-	-	7972412
(b)	NBFCs registered with RBI	5	2202	-	-	2202	0.00	2202	-	2202	0.00	-	0.00	-	0.00	-	-	2202
(c)	Employee Trusts	-	-	-	-	-	0.00	0	-	-	0.00	-	0.00	-	0.00	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	0.00	0	-	-	0.00	-	0.00	-	0.00	-	-	-
(e)	Any Other (Specify)	12603	16576431	-	-	16576431	0.82	16576431	-	16576431	0.82	-	0.82	-	0.00	-	-	16570783
	IEPF	1	179074	-	-	179074	0.01	179074	-	179074	0.01	-	0.01	-	0.00	-	-	179074
	Trusts	11	458902	-	-	458902	0.02	458902	-	458902	0.02	-	0.02	-	0.00	-	-	458902
	Foreign Nationals	1	14123	-	-	14123	0.00	14123	-	14123	0.00	-	0.00	-	0.00	-	-	14123
	Hindu Undivided Family	8430	3127632	-	-	3127632	0.15	3127632	-	3127632	0.15	-	0.15	-	0.00	-	-	3127632
	Non Resident Indians	3216	1092853	-	-	1092853	0.05	1092853	-	1092853	0.05	-	0.05	-	0.00	-	-	1092853
	Foreign Portfolio Investor (Individual)	1	8570	-	-	8570	0.00	8570	-	8570	0.00	-	0.00	-	0.00	-	-	8570
	Clearing Member	209	759509	-	-	759509	0.04	759509	-	759509	0.04	-	0.04	-	0.00	-	-	759509
	Bodies Corporate	734	10935768	-	-	10935768	0.54	10935768	-	10935768	0.54	-	0.54	-	0.00	-	-	10930120
	Sub Total (B)(3)	256485	60502387	-	-	60502387	2.98	60502387	-	60502387	2.98	-	2.98	-	0.00	-	-	60116852
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	257109	762752439	-	-	762752439	37.54	762752439	-	762752439	37.54	-	37.54	-	0.00	N.A.	-	762366904

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): Nil
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed Nil suspense account, voting rights which are frozen etc.:

Note:
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Custodian/DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	-
2	Employee Benefit Trust [under SEBI (Share based Employee Benefit) Regulations, 2014]	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	-
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	N.A.	-

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

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Table V - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Sr. No.	Details of the SBO			Details of Registered Owner			Details of holding/exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
							Shares	Voting Rights	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of significant influence	
1	Gautambhai Shantilal Adani	India		Shri Gautam S. Adani/Shri Rajesh S. Adani (on behalf of S. B. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
2	Rajeshbhai Shantilal Adani	India		Shri Gautam S. Adani/Shri Rajesh S. Adani (on behalf of S. B. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
3	Vinodbhai Shantilal Adani	Any other	Cypriot	Shri Gautam S. Adani/Shri Rajesh S. Adani (on behalf of S. B. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
4	Gautambhai Shantilal Adani	India		Adani Tradeline LLP	India		0	0	-	No	No	08-02-2019
5	Rajeshbhai Shantilal Adani	India		Adani Tradeline LLP	India		0	0	-	No	No	08-02-2019
6	Vinodbhai Shantilal Adani	Any other	Cypriot	Adani Tradeline LLP	India		0	0	-	No	No	08-02-2019
7	Gautambhai Shantilal Adani	India		Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
8	Rajeshbhai Shantilal Adani	India		Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
9	Vinodbhai Shantilal Adani	Any other	Cypriot	Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
10	Shilin Rajeshbhai Adani	India		Rajeshbhai Shantilal Adani & Shilin Rajeshbhai Adani (on behalf of Rajesh S. Adani Family Trust)	India		0	0	-	No	No	08-02-2019
11	Vinodbhai Shantilal Adani	Any other	Cypriot	Worldwide Emerging Market Holding Limited	Mauritius		0	0	-	No	No	08-02-2019
12	Vinodbhai Shantilal Adani	Any other	Cypriot	Universal Trade and Investments Limited	Mauritius		0	0	-	No	No	08-02-2019
13	Vinodbhai Shantilal Adani	Any other	Cypriot	Afro Asia Trade and Investments Limited	Mauritius		0	0	-	No	No	08-02-2019